

# L-Covering Sets and L-Covering Polynomials of Chains

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## ABSTRACT

Let  $P$  be a finite poset. For a subset  $A$  of  $P$ , the open lower cover set of  $A$  is defined as  $L(A) = \{x \in P \mid x \text{ is covered by an } a \in A\}$ . The closed lower cover set of  $A$  is defined as  $L[A] = L(A) \cup A$  and  $A$  is called an  $L$ -covering set of  $P$  if  $L[A] = P$ . The  $L$ -covering number  $\wedge(P)$  is the minimum cardinality of an  $L$ -covering set. Let  $L_n^i$  be the family of all  $L$ -covering sets of a chain  $P_n$  with cardinality  $i$ . Similarly we can define  $U$ -covering and  $N$ -covering sets of  $P_n$  with cardinality  $i$ .  $\ell(P_n, i) = |L_n^i|$ ,  $\mathcal{u}(P_n, i) = |U_n^i|$ ,  $\mathcal{n}(P_n, i) = |N_n^i|$ . In this paper, we construct  $L_n^i$ , and obtain a recursive formula for  $\ell(P_n, i)$ . Using this recursive formula we construct the polynomial  $L(P_n, x) = \sum_{i=\lceil n/2 \rceil}^n \ell(P_n, i)x^i$  called  $L$ -covering polynomial of  $P_n$ .

**Keywords:** Poset,  $L$ -Covering set,  $L$ -Covering Polynomial.

## 1. INTRODUCTION

A poset  $P$  is finite if it has finite number of elements. Let  $P$  be a finite poset. The open lower cover set of  $A$  is the set  $L(A) = \{x \in P \mid x \text{ is covered by } a \in A\}$ . The closed lower cover set of  $A$  is the set  $L[A] = L(A) \cup A$ . We denote  $L(\{x\})$  as  $L(x)$ . A set  $A \subseteq P$  is a  $L$ -covering set of  $P$  if  $L[A] = P$ . The  $L$ -covering number  $\wedge(P)$  is the minimum cardinality of an  $L$ -covering set of  $P$ . A poset  $P$  is a chain if every pair of elements is comparable. Let  $P_n$  be the  $n$  element chain  $x_1 < x_2 < \dots < x_n$ . Let  $L_n^i$  be the family of  $L$ -covering sets of  $P_n$  with cardinality  $i$  and let  $\ell(P_n, i) = |L_n^i|$ . The polynomial  $L(P_n, x) = \sum_{i=\wedge(P_n)}^n \ell(P_n, i)x^i$  is called the  $L$ -covering polynomial of  $P_n$ .

## 2. $L$ -covering sets of chains

In this section we construct the family of  $L$ -covering sets of chains by a recursive method. We use  $\lceil x \rceil$ , for the smallest integer greater than or equal to  $x$ . Let  $L_n^i$  be the family of  $L$ -covering sets of  $P_n$  with cardinality  $i$ . The following lemma follows from observation.

### Lemma 2.1

$$\wedge(P_n) = \lceil \frac{n}{2} \rceil.$$

By the definition of  $L$ -covering set and by lemma 2.1, we have the following lemma

### Lemma 2.2

$$L_j^i = \emptyset \text{ if and only if } i > j \text{ or } i < \lceil \frac{j}{2} \rceil.$$

A chain connecting  $a$  and  $b$  where  $a < b$  is a simple chain if every element other than  $a$  and  $b$  in the chain has exactly one upper cover and lower cover.

The following lemma follows from observation.

### Lemma 2.3

If a poset  $P$  contains a simple chain of length  $2k-1$ , then every  $L$ -covering set of  $P$  must contain at least  $k$  elements of the chain.

To find a  $L$ -covering set of  $P_n$  with cardinality  $i$ , we do not need to consider  $L$ -covering sets of  $P_{n-3}$  with cardinality  $i-1$ . We show this in lemma 2.4. So, we only need to consider  $L_{n-1}^{i-1}$  and  $L_{n-2}^{i-1}$ .

### Lemma 2.4

If  $D \in L_{n-3}^{i-1}$  and if there exist  $x \in P_n$  such that  $D \cup \{x\} \in L_n^i$  then  $D \in L_{n-2}^{i-1}$ .

### Proof:

Suppose that  $D \notin L_{n-2}^{i-1}$ . Since  $D \in L_{n-3}^{i-1}$ , if  $x_{n-2} \in D$ , then  $D \in L_{n-2}^{i-1}$ , a contradiction. Hence  $x_{n-2} \notin D$ . Therefore,  $D \cup \{x\} \notin L_n^i$  for any  $x \in P_n$ , a contradiction.

### Lemma 2.5

- (i) If  $L_{n-1}^{i-1} = L_{n-3}^{i-1} = \emptyset$  then  $L_{n-2}^{i-1} = \emptyset$ .
- (ii) If  $L_{n-1}^{i-1} \neq \emptyset$  and  $L_{n-3}^{i-1} \neq \emptyset$  then  $L_{n-2}^{i-1} \neq \emptyset$ .
- (iii) If  $L_{n-1}^{i-1} = L_{n-2}^{i-1} = \emptyset$  then  $L_n^i = \emptyset$ .

### Proof:

- (i) Since  $L_{n-1}^{i-1} = L_{n-3}^{i-1} = \emptyset$  by lemma 2.2,  $i-1 > n-1$  or  $i-1 < \lceil \frac{(n-3)}{2} \rceil$ .

$\therefore i-1 > n-2$  or  $i-1 < \lceil \frac{(n-2)}{2} \rceil$  and hence  $L_{n-2}^{i-1} = \emptyset$

- (ii) Suppose that  $L_{n-2}^{i-1} = \emptyset$ , then by lemma 2.2,  $i-1 > n-2$  or  $i-1 < \lceil \frac{(n-2)}{2} \rceil$ .

If  $i-1 > n-2$  then  $i-1 > n-3$  and hence  $L_{n-3}^{i-1} = \emptyset$ , a contradiction.

Therefore,  $i-1 < \lceil \frac{(n-2)}{2} \rceil \leq \lceil \frac{(n-1)}{2} \rceil$  and hence  $L_{n-3}^{i-1} = \emptyset$ , a contradiction.

- (iii) Suppose that  $L_n^i \neq \emptyset$ . Let  $D \in L_n^i$ . Then  $x_n \in D$ . By lemma 2.3, atleast one of  $x_{n-1}$  or  $x_{n-2}$  is in  $D$ . If  $x_{n-1} \in D$ , then  $D - \{x_n\} \in L_{n-1}^{i-1}$ , a contradiction. If  $x_{n-2} \in D$ , again  $D - \{x_n\} \in L_{n-2}^{i-1}$ , a contradiction.

**Lemma 2.6**

If  $L_n^i \neq \emptyset$ , then

- (i)  $L_{n-1}^{i-1} = \emptyset$  and  $L_{n-2}^{i-1} \neq \emptyset$  if and only if  $n=2k$  and  $i=k$  for some  $k \in \mathbb{N}$ .
- (ii)  $L_{n-1}^{i-1} \neq \emptyset$  and  $L_{n-2}^{i-1} = \emptyset$  if and only if  $i=n$ .
- (iii)  $L_{n-1}^{i-1} \neq \emptyset$  and  $L_{n-2}^{i-1} \neq \emptyset$  if and only if  $\lceil \frac{(n-1)}{2} \rceil + 1 \leq i \leq n-1$ .

**Proof:**

- (i)  $(\Rightarrow)$  since  $L_{n-1}^{i-1} \neq \emptyset$ , by lemma 2.2,  $i-1 > n-1$  or  $i-1 < \lceil \frac{(n-1)}{2} \rceil$ . If  $i-1 > n-1$ , then  $i > n$  and hence by lemma 2.2  $L_n^i = \emptyset$ , a contradiction.

Therefore,  $i-1 < \lceil \frac{(n-1)}{2} \rceil$  and since  $L_n^i \neq \emptyset$   $\lceil \frac{n}{2} \rceil \leq i < \lceil \frac{(n-1)}{2} \rceil + 1$ . This gives us  $n=2k$  and  $i=k$  for some  $k \in \mathbb{N}$ .

$(\Leftarrow)$  If  $n=2k$  and  $i=k$  for some  $k \in \mathbb{N}$ , then by lemma 2.2,  $L_{n-1}^{i-1} = \emptyset$  and  $L_{n-2}^{i-1} \neq \emptyset$ .

- (ii)  $(\Rightarrow)$  since  $L_{n-2}^{i-1} = \emptyset$ , by lemma 2.2,  $i-1 > n-2$  or  $i-1 < \lceil \frac{(n-2)}{2} \rceil$ . If  $i-1 < \lceil \frac{(n-2)}{2} \rceil$  then  $i-1 < \lceil \frac{(n-1)}{2} \rceil$  and hence  $L_{n-1}^{i-1} = \emptyset$ , a contradiction. Therefore,  $i-1 > n-2$  and so  $i > n-1$ . Also, since  $L_n^i \neq \emptyset$ ,  $i \leq n$  and hence  $i = n$ .

$(\Leftarrow)$  If  $i=n$ , then by lemma 2.2,  $L_{n-1}^{i-1} \neq \emptyset$  and  $L_{n-2}^{i-1} = \emptyset$

- (iii)  $(\Rightarrow)$  since  $L_{n-1}^{i-1} \neq \emptyset$  and  $L_{n-2}^{i-1} \neq \emptyset$ ,

$\lceil \frac{(n-1)}{2} \rceil \leq i-1 \leq n-2$  and hence

$\lceil \frac{(n-1)}{2} \rceil + 1 \leq i \leq n-1$ .

$(\Leftarrow)$  If  $\lceil \frac{(n-1)}{2} \rceil + 1 \leq i \leq n-1$ , by lemma 2.2,

$L_{n-1}^{i-1} \neq \emptyset$ , and  $L_{n-2}^{i-1} \neq \emptyset$ .

**Theorem 2.7**

For every  $n \geq 3$  and  $i \geq \lceil \frac{n}{2} \rceil$

- (i) If  $L_{n-1}^{i-1} = \emptyset$  and  $L_{n-2}^{i-1} \neq \emptyset$ , then  $L_n^i = \{ \{x_2, x_4, x_6, \dots, x_n\} \}$ .
- (ii) If  $L_{n-1}^{i-1} \neq \emptyset$  and  $L_{n-2}^{i-1} = \emptyset$ , then  $L_n^i = \{ \{x_1, x_2, x_3, \dots, x_n\} \}$ .
- (iii) If  $L_{n-1}^{i-1} \neq \emptyset$  and  $L_{n-2}^{i-1} \neq \emptyset$ , then  $L_n^i = \{ \{x_n\} \cup X \mid X \in L_{n-1}^{i-1} \} \cup \{ \{x_n\} \cup X \mid X \in L_{n-2}^{i-1} \}$ .

**Proof:**

- (i)  $L_{n-1}^{i-1} = \emptyset$  and  $L_{n-2}^{i-1} \neq \emptyset$ . So, by lemma 2.6 (i),  $n=2k$  and  $i=k$  for some  $k \in \mathbb{N}$ .

Therefore,  $L_n^i = L_n^k = \{ \{x_2, x_4, x_6, \dots, x_n\} \}$

- (ii)  $L_{n-1}^{i-1} \neq \emptyset$  and  $L_{n-2}^{i-1} = \emptyset$ . So, by lemma 2.6 (ii),  $i=n$ .

Therefore,  $L_n^i = L_n^n = \{ \{x_1, x_2, x_3, \dots, x_n\} \}$

- (iii)  $L_{n-1}^{i-1} \neq \emptyset$  and  $L_{n-2}^{i-1} \neq \emptyset$ . Let  $X_1 \in L_{n-1}^{i-1}$ . Then  $X_1 \cup \{x_n\} \in L_n^i$ . Let  $X_2 \in L_{n-2}^{i-1}$ . Again,  $X_2 \cup \{x_n\} \in L_n^i$ . Hence, we have  $\{ \{x_n\} \cup X \mid X \in L_{n-1}^{i-1} \} \cup \{ \{x_n\} \cup X \mid X \in L_{n-2}^{i-1} \} \subseteq L_n^i(1)$

Conversely, let  $Y \in L_n^i$ . Then  $x_n \in Y$ . By lemma 2.3, atleast one of  $x_{n-1}$  or  $x_{n-2}$  is in  $Y$ . If  $x_{n-1} \in Y$ , then  $Y = X \cup \{x_n\}$  for some  $X \in L_{n-1}^{i-1}$ . If  $x_{n-2} \in Y$ , then  $Y = X \cup \{x_n\}$  for some  $X \in L_{n-2}^{i-1}$ . Therefore,

$$L_n^i \subseteq \{ \{x_n\} \cup X \mid X \in L_{n-1}^{i-1} \} \cup \{ \{x_n\} \cup X \mid X \in L_{n-2}^{i-1} \} \tag{2}$$

From (1) and (2), we get (iii)

**Table.1**  $\ell(P_n, i)$  the number of L-Covering sets of  $P_n$  with cardinality  $i$ .

j	1	2	3	4	5	6	7	8	9	10
$n$										
1	1									
2	1	1								
3	0	2	1							
4	0	1	3	1						
5	0	0	3	4	1					
6	0	0	1	6	5	1				
7	0	0	0	4	10	6	1			
8	0	0	0	1	10	15	7	1		
9	0	0	0	0	5	20	21	8	1	
10	0	0	0	0	1	15	35	28	9	1

**3. L-covering polynomial of a chain**

Let  $L(P_n, x) = \sum_{i=\lceil \frac{n}{2} \rceil}^n \ell(P_n, i) x^i$  be the L-covering polynomial of a chain  $P_n$ . In this section we study this polynomial.

**Theorem 3.1**

- (i) If  $L_n^i$  is the family of L-covering sets with cardinality  $i$  of  $P_n$ , then  $|L_n^i| = |L_{n-1}^{i-1}| + |L_{n-2}^{i-1}|$ .
- (ii) For every  $n \geq 3$ ,  $L(P_n, x) = x [L(P_{n-1}, x) + L(P_{n-2}, x)]$  with initial values  $L(P_1, x) = x$  and  $L(P_2, x) = x^2 + x$ .

**Proof**

- (i) It follows from Theorem 2.7
- (ii) It follows from part (i) and the definition of the L-covering Polynomial.

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