

Characterizations of k-normal matrices

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Abstract: In this paper to extend and generalize lists of characterizations of k-normal and k-hermitian matrices known in the literature, by providing numerous sets of equivalent conditions referring to the notions of conjugate transpose, Moore-Penrose and group inverse.

Keywords: k-normal, k-hermitian, Moore-Penrose inverse, Group inverse and Conjugate transpose.

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1. INTRODUCTION:

In this section concerns matrices belonging to the set $C_{n \times n}$ composed of square matrices of order n with complex entries. Referring to singular value decomposition such matrices can be written in the form

$$A = U \begin{pmatrix} \Sigma & 0 \\ 0 & 0 \end{pmatrix} V^* K \quad (1)$$

where $U, V \in C_{n \times n}$ are k-unitary, $\Sigma = \text{diag}(\sigma_1 I_{r_1}, \dots, \sigma_t I_{r_t})$ is the diagonal matrix of singular values of A, $\sigma_1 > \sigma_2 > \dots > \sigma_t > 0$, $r_1 + r_2 + \dots + r_t = r = \text{rank}(A)$ and I_{r_i} in the identity matrix of order r_i , see [13, p.66], using this decomposition, Hartwig and Spindelbock [7, corollary 6] derived the following representation.

Let $A \in C_{n \times n}$ be of rank r, then

$$A = U \begin{pmatrix} \Sigma N & \Sigma M \\ 0 & 0 \end{pmatrix} U^* K \quad (2)$$

where U and Σ are taken from the singular decomposition (1) of A , and the matrices N and M satisfy the condition $NN^* + MM^* = I_r$. Utilizing the representation (2), we see that $A = KA^*K$, that is A is k -hermitian if and only if $M=0$ and $\Sigma N = N^*\Sigma$, the matrix A satisfies $AA^*K = KA^*A$, that is A is k -normal if and only if $M=0$ and $\Sigma N = N\Sigma$. This is equivalent to the relation $AXK = KXA$, where X is the Moor-Penrose inverse of A , Uniquely defined by the four conditions $AXA = A$, $XAX = X$, $(AX)^* = AX$ and $(XA)^* = XA$ and expresses the so called k -normal property of matrix A .

From (2) it follows that

$$X = KU \begin{pmatrix} N^*\Sigma^{-1} & 0 \\ M^*\Sigma^{-1} & 0 \end{pmatrix} U^* \quad (3)$$

We say that A has the group property if $\text{rank}(A) = \text{rank}(A^2)$. This is equivalent to saying that the matrix N in (2) is nonsingular. In such a case, matrix A has a group inverse Y , which is characterized by the following three conditions $AYA = A$, $YAY = Y$, and $YA = AY$ ensuring that matrix Y is unique.

From (2) we obtain,

$$Y = KU \begin{pmatrix} N^{-1}\Sigma^{-1} & N^{-1}\Sigma^{-1}N^{-1}M \\ 0 & 0 \end{pmatrix} U^* \quad (4)$$

In what follows, whenever the group inverse occurs, it is assumed to exist.

Theorem 1: Let $A \in C_{n \times n}$. Then the following conditions are equivalent.

- a. A is k -normal
- b. $AKAA^* = AA^*AK$
- c. $KAA^*A = A^*AKA$
- d. $A^*KY = YKA^*$
- e. $KAA^*KY = A^*KYAK$
- f. $AA^*KY = KYAA^*$
- g. $KAYKA^* = YKA^*AK$
- h. $A^*AYK = YKA^*A$

- i. $A^*KA^*KY = A^*KYKA^*$
- j. $A^*KXKY = YKA^*KX$
- k. $AA^*KX = KXAA^*$
- l. $A^*KYKA^* = YKA^*KA^*$
- m. $A^*KYKX = XKA^*KY$
- n. $A^*KYKY = YKA^*KY$
- o. $XKA^*KY = YKXKA^*$
- p. $XKYKA^*YKA^*KX$
- q. $YKA^*KY = YKYKA^*$

Proof: By hypothesis, matrix A of the representation (2) is k-normal if and only if $M=0$ and $\Sigma N = N \Sigma$.

(a) \Leftrightarrow (b): Assume that A is k-normal matrix.

To prove that $AKAA^* = AA^*AK$.

$$\text{Now, } AKAA^* = \left[U \begin{pmatrix} \Sigma N & \Sigma M \\ 0 & 0 \end{pmatrix} U^* K \right] K \left[U \begin{pmatrix} \Sigma N & \Sigma M \\ 0 & 0 \end{pmatrix} U^* K \right] \left[U \begin{pmatrix} \Sigma N & \Sigma M \\ 0 & 0 \end{pmatrix} U^* K \right]^*$$

$$AKAA^* = U \begin{pmatrix} \Sigma N & \Sigma M \\ 0 & 0 \end{pmatrix} \begin{pmatrix} \Sigma N & \Sigma M \\ 0 & 0 \end{pmatrix} \begin{pmatrix} \Sigma N^* & \Sigma M^* \\ 0 & 0 \end{pmatrix} U^*$$

$$AKAA^* = U \begin{pmatrix} \Sigma N \Sigma N & \Sigma N \Sigma M \\ 0 & 0 \end{pmatrix} \begin{pmatrix} \Sigma N^* & \Sigma M^* \\ 0 & 0 \end{pmatrix} U^*$$

$$AKAA^* = U \begin{pmatrix} N \Sigma^2 N & N \Sigma^2 M \\ 0 & 0 \end{pmatrix} \begin{pmatrix} \Sigma N^* & \Sigma M^* \\ 0 & 0 \end{pmatrix} U^*$$

$$AKAA^* = U \begin{pmatrix} N \Sigma^2 N \Sigma N^* & N \Sigma^2 N \Sigma M^* \\ 0 & 0 \end{pmatrix} U^*$$

$$\text{Also, } AA^*AK = \left[U \begin{pmatrix} \Sigma N & \Sigma M \\ 0 & 0 \end{pmatrix} U^* K \right] \left[U \begin{pmatrix} \Sigma N & \Sigma M \\ 0 & 0 \end{pmatrix} U^* K \right]^* \left[U \begin{pmatrix} \Sigma N & \Sigma M \\ 0 & 0 \end{pmatrix} U^* K \right] K$$

$$AA^*AK = U \begin{pmatrix} \Sigma N & \Sigma M \\ 0 & 0 \end{pmatrix} \begin{pmatrix} \Sigma N^* & \Sigma M^* \\ 0 & 0 \end{pmatrix} \begin{pmatrix} \Sigma N & \Sigma M \\ 0 & 0 \end{pmatrix} U^*$$

$$AA^*AK = U \begin{pmatrix} \Sigma N \Sigma N^* & \Sigma N \Sigma M^* \\ 0 & 0 \end{pmatrix} \begin{pmatrix} \Sigma N & \Sigma M \\ 0 & 0 \end{pmatrix} U^*$$

$$AA^*AK = U \begin{pmatrix} N \Sigma^2 N^* & N \Sigma^2 M^* \\ 0 & 0 \end{pmatrix} \begin{pmatrix} \Sigma N & \Sigma M \\ 0 & 0 \end{pmatrix} U^*$$

$$AA^*AK = U \begin{pmatrix} N \Sigma^2 N^* \Sigma N & N \Sigma^2 N^* \Sigma M \\ 0 & 0 \end{pmatrix} U^*$$

If $M = 0$ implies $M^* = 0$. Thus, we obtained equivalently $M = 0$ and $N \Sigma^2 N^* = \Sigma^2$. Taking square roots, we arrive at $M = 0$ and $N \Sigma N^* = \Sigma$, that is $M = 0$ and $\Sigma N = N \Sigma$.

Therefore, $AKAA^* = AA^*AK = U \begin{pmatrix} N \Sigma^3 & 0 \\ 0 & 0 \end{pmatrix} U^*$.

Converse part we have to prove directly.

(a) \Leftrightarrow (d): Assume that A is k-normal matrix.

To prove that $A^*KY = YKA^*$.

Now, $A^*KY = \left[U \begin{pmatrix} \Sigma N & \Sigma M \\ 0 & 0 \end{pmatrix} U^* K \right]^* K \left[KU \begin{pmatrix} N^{-1} \Sigma^{-1} & N^{-1} \Sigma^{-1} N^{-1} M \\ 0 & 0 \end{pmatrix} U^* \right]$

$$A^*KY = KU \begin{pmatrix} \Sigma N^* & \Sigma M^* \\ 0 & 0 \end{pmatrix} \begin{pmatrix} N^{-1} \Sigma^{-1} & N^{-1} \Sigma^{-1} N^{-1} M \\ 0 & 0 \end{pmatrix} U^*$$

$$A^*KY = KU \begin{pmatrix} \Sigma N^* N^{-1} \Sigma^{-1} & \Sigma N^* N^{-1} \Sigma^{-1} N^{-1} M \\ 0 & 0 \end{pmatrix} U^*$$

Also, $YKA^* = \left[KU \begin{pmatrix} N^{-1} \Sigma^{-1} & N^{-1} \Sigma^{-1} N^{-1} M \\ 0 & 0 \end{pmatrix} U^* \right] K \left[U \begin{pmatrix} \Sigma N & \Sigma M \\ 0 & 0 \end{pmatrix} U^* K \right]^*$

$$YKA^* = KU \begin{pmatrix} N^{-1}\Sigma^{-1} & N^{-1}\Sigma^{-1}N^{-1}M \\ 0 & 0 \end{pmatrix} \begin{pmatrix} \Sigma N^* & \Sigma M^* \\ 0 & 0 \end{pmatrix} U^*$$

$$YKA^* = KU \begin{pmatrix} N^{-1}\Sigma^{-1}\Sigma N^* & N^{-1}\Sigma^{-1}\Sigma M^* \\ 0 & 0 \end{pmatrix} U^*$$

It is seen that A^* and Y commute if and only if $M = 0$ implies $M^* = 0$ and $\Sigma N^* N^{-1} \Sigma^{-1} = N^{-1} \Sigma^{-1} \Sigma N^*$.

Therefore, $A^*KY = YKA^*$. Converse part is obvious.

We show the equivalence between (c) and (e) to (q) to prove the similar way.

Theorem 2: Let $A \in C_{n \times n}$. Then the following conditions are equivalent.

- a. A is k -normal
- b. $A^*KX = YKA^*$
- c. $A^*KY = XKA^*$

Proof: (a) \Leftrightarrow (b): Assume that A is k -normal matrix.

To prove that $A^*KX = YKA^*$.

$$\text{Now, } A^*KX = \left[U \begin{pmatrix} \Sigma N & \Sigma M \\ 0 & 0 \end{pmatrix} U^* K \right]^* K \left[KU \begin{pmatrix} N^* \Sigma^{-1} & 0 \\ M^* \Sigma^{-1} & 0 \end{pmatrix} U^* \right]$$

$$A^*KX = KU \begin{pmatrix} \Sigma N^* & \Sigma M^* \\ 0 & 0 \end{pmatrix}^* \begin{pmatrix} N^* \Sigma^{-1} & 0 \\ M^* \Sigma^{-1} & 0 \end{pmatrix} U^*$$

$$A^*KX = KU \begin{pmatrix} \Sigma N^* N^* \Sigma^{-1} + \Sigma M^* M^* \Sigma^{-1} & 0 \\ 0 & 0 \end{pmatrix} U^*$$

$$A^*KX = KU \begin{pmatrix} \Sigma N^* N^* \Sigma^{-1} & 0 \\ 0 & 0 \end{pmatrix} U^*$$

Also, $YKA^* = KU \begin{pmatrix} N^{-1}\Sigma^{-1}\Sigma N^* & 0 \\ 0 & 0 \end{pmatrix} U^*$

It follows that $A^*KX = YKA^*$ implies $M^* = 0$. Moreover, by $N^* = N^{-1}$, in addition to $M^* = 0$ we get $\Sigma N^* N^* \Sigma^{-1} = N^{-1}\Sigma^{-1}\Sigma N^*$. Hence we further obtain $M = 0$ and $\Sigma N = N \Sigma$, that is, the pair of conditions corresponding to the k-normality of A. The other direction is obvious.

Next, equivalent conditions we have to prove the similar way.

Theorem 3: Let $A \in C_{n \times n}$. Then the following conditions are equivalent.

- a. A is k-normal
- b. $KAA^*KX = A^*$
- c. $KAA^*KY = A^*$
- d. $XKA^*AK = A^*$
- e. $YKA^*AK = A^*$

Proof: We again show the equivalence (a) \Leftrightarrow (b) only.

Assume that A is k-normal matrix.

To prove that $KAA^*KX = A^*$.

Now, $KAA^*KX = K \left[U \begin{pmatrix} \Sigma N & \Sigma M \\ 0 & 0 \end{pmatrix} U^* K \right] \left[U \begin{pmatrix} \Sigma N & \Sigma M \\ 0 & 0 \end{pmatrix} U^* K \right]^* K \left[KU \begin{pmatrix} N^* \Sigma^{-1} & 0 \\ M^* \Sigma^{-1} & 0 \end{pmatrix} U^* \right]$

$$KAA^*KX = KU \begin{pmatrix} \Sigma N & \Sigma M \\ 0 & 0 \end{pmatrix} \begin{pmatrix} \Sigma N^* & \Sigma M^* \\ 0 & 0 \end{pmatrix} \begin{pmatrix} N^* \Sigma^{-1} & 0 \\ M^* \Sigma^{-1} & 0 \end{pmatrix} U^*$$

$$KAA^*KX = KU \begin{pmatrix} \Sigma N \Sigma N^* & \Sigma N \Sigma M^* \\ 0 & 0 \end{pmatrix} \begin{pmatrix} N^* \Sigma^{-1} & 0 \\ M^* \Sigma^{-1} & 0 \end{pmatrix} U^*$$

$$KAA^*KX = KU \begin{pmatrix} N \Sigma^2 N^* N^* \Sigma^{-1} + \Sigma N \Sigma M^* M^* \Sigma^{-1} & 0 \\ 0 & 0 \end{pmatrix} U^*$$

$$KAA^*KX = KU \begin{pmatrix} \Sigma^2 N^* \Sigma^{-1} & 0 \\ 0 & 0 \end{pmatrix} U^*$$

and $A^* = KU \begin{pmatrix} \Sigma N^* & \Sigma M^* \\ 0 & 0 \end{pmatrix} U^*$

Consequently, the condition $KAA^*KX = A^*$ is equivalent to $M = 0$ and $\Sigma^2 N^* \Sigma^{-1} = \Sigma N^*$, that is $M = 0$ and $\Sigma^2 N = N \Sigma^2$, which according to the derivations from the above is equivalent to the k-normality of A. the converse part is obvious.

Similarly, we have to prove the other equivalent conditions.

Remark: In this section is concluded with some observations concerning k-hermitian matrices, for, by exploiting representation (2), one can obtain also several characterizations of such matrices. For, instance, the following list of conditions is equivalent to $A = KA^*K$.

- a. $AKA = KA^*A$
- b. $KAX = A^*KX$
- c. $KAY = A^*KX$
- d. $KAY = A^*KY$
- e. $KAY = XKA^*$
- f. $XAK = YKA^*$

Similarly, the following list provides selected conditions of the form $A = A^*XY$, which are satisfied if and only if A is k-hermitian.

- a. $KAKAX = A^*$
- b. $AA^*KXK = A$
- c. $KA^*AYK = A$
- d. $A^*KA^*KY = A^*$
- e. $A^*KXKX = Y$
- f. $A^*KXKY = X$
- g. $A^*KXKY = Y$

h. $A^*KYKY = Y$

i. $YKA^*KY = X$

The final observation is that analogous results to the ones referring to k-hermitian matrices can be obtained for skew k-hermitian matrices. This observation follows directly from the fact that a matrix A is skew k-hermitian whenever iA is k-hermitian.

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