

# Some Equivalent Conditions on Quaternion -K-Normal Matrices

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## ABSTRACT

The equivalent conditions of quaternion- $\mathbf{k}$ -normal ( $q$ - $\mathbf{k}$ -normal) and  $q$ - $\mathbf{k}$ -unitary matrices are discussed also the  $q$ - $\mathbf{k}$ -eigenvalues and the decomposition are given.

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**Key words:**  $q$ - $\mathbf{k}$ -normal matrix,  $q$ - $\mathbf{k}$ -unitary matrix,  $q$ - $\mathbf{k}$ -eigenvalue and  $q$ - $\mathbf{k}$ -eigenvector.

## 1. INTRODUCTION

Let  $A \in C_{n \times n}$ , If  $AA^* = A^*A$  then  $A$  is normal [2]  $K$  be the permutation matrix associated with the permutation  $\mathbf{k}(x)$  on the set of positive integers  $S = \{1, 2, 3, \dots, n\}$ . A complex square matrix  $A$  is said to be  $\mathbf{k}$ -symmetric real if  $A = KA^T K$ . If  $A = KA^* K$  then  $\mathbf{k}$ -Hermitian [4] with  $K^2 = I$ ,  $K = K^{-1} = K^* = K^T$ . A matrix is a quaternion matrix if the elements of  $A$  belong to quaternion field  $H$  [5]. Some equivalent conditions were proved in [1]. In this paper, with the support of [1]. Some equivalent conditions are given for  $q$ - $\mathbf{k}$ -normal matrices and  $q$ - $\mathbf{k}$ -unitary matrices over quaternion field  $H$  [5] with  $q$ - $\mathbf{k}$ -eigenvalues and decomposition.

## 2. DEFINITION AND THEOREM

**Definition 2.1:**[3]  $A \in H_{n \times n}$  is said to be  $q$ - $\mathbf{k}$ -normal if  $AKA^* K = KA^* KA$ .

**Theorem 2.2:**[1] Let  $A = (a_{ij})$  be an  $n \times n$  square quaternion matrix with eigenvalues  $\lambda_1, \lambda_2, \dots, \lambda_n$ . The following statements are equivalent:

1.  $A$  is  $q$ - $\mathbf{k}$ -normal, that is  $AKA^* K = KA^* KA$ .
2.  $A$  is  $q$ - $\mathbf{k}$ -unitarily diagonalizable, namely there exists a  $q$ - $\mathbf{k}$ -unitary matrix  $U$  of the same size such that  $KU^* KA U = \text{diag}(\lambda_1, \lambda_2, \dots, \lambda_n)$ .
3. there exists a polynomial  $p(x)$  such that  $A^* = p(A)$ .
4. there exists a set of  $q$ - $\mathbf{k}$ -eigenvectors of  $A$  that form an orthonormal basis for  $H_n$ .
5. every  $q$ - $\mathbf{k}$ -eigenvector of  $A$  is an  $q$ - $\mathbf{k}$ -eigenvector of  $KA^* K$ .
6.  $A = B + iC$  for some  $B$  and  $C$   $q$ - $\mathbf{k}$ -Hermitian, and  $BC = CB$ .
7. if  $U$  is a  $q$ - $\mathbf{k}$ -unitary matrix such that  $KU^* KA U = \begin{pmatrix} B & C \\ O & D \end{pmatrix}$  with  $B$  and  $D$  square, then  $B$  and  $D$  are  $q$ - $\mathbf{k}$ -normal and  $C = O$ .

8.  $\text{tr}(KA^*KA)^2 = \text{tr}\left((KA^*K)^2 A^2\right).$
9.  $KA^*K = AU$  for some q- $\mathbb{K}$ -unitary  $U$ .
10.  $KA^*K = VA$  for some q- $\mathbb{K}$ -unitary  $V$ .
11.  $A$  commutes with  $A + KA^*K$ .
12.  $A$  commutes with  $A - KA^*K$ .
13.  $A + KA^*K$  and  $A - KA^*K$  commute.
14.  $A$  commutes with  $KA^*KA$ .

**Proof:**

(2)  $\Leftrightarrow$  (1): We show that (1)  $\Rightarrow$  (2)

Let  $A = (KU^*K)TU$  be a Schur decomposition of  $A$  with  $T(KT^*K) = (TKT^*K)T$ . It suffices to show that the upper-triangular matrix  $T$  is diagonal.

$$\begin{aligned} A &= (KU^*K)TU \\ A^* &= U^*T^*(KUK) \\ KA^*K &= K[U^*T^*KUK]K = KU^*T^*KUKK = KU^*T^*KU = KU^*KKT^*KU \\ &= (KU^*K)(KT^*K)U \end{aligned}$$

$$\begin{aligned} \text{Now, } A(KA^*K) &= [KU^*KTU](KU^*K)(KT^*K)U \\ &= KU^*KTUKU^*KKT^*KU \\ &= KU^*KT(UKU^*K)KT^*KU \\ &= (KU^*K)T(KT^*K)U \\ (KA^*K)A &= [(KU^*K)(KT^*K)U][(KU^*K)TU] \\ &= (KU^*K)(KT^*K)TU \\ &= (KU^*K)T(KT^*K)U \end{aligned}$$

$$\text{Thus, } (KA^*K)A = A(KA^*K).$$

By comparing the diagonal entries on both sides of the latter identity, we have  $t_{ij} = 0$ . Whenever  $i < j$ , Thus  $T$  is diagonal.

(3)  $\Leftrightarrow$  (2): To show that (2) implies (3) we choose a polynomial  $p(x)$  of degree at most  $n-1$ , by interpolation, such that  $p(\lambda_i) = \overline{\lambda_i}$ ,  $i = 1, 2, \dots, n$

Thus if  $A = (KU^*K)\text{diag}(\lambda_1, \lambda_2, \dots, \lambda_n)U$  for some q- $\mathbb{K}$ -unitary matrix  $U$ , then

$$KA^*K = (KU^*K)\text{diag}(\overline{\lambda_1}, \overline{\lambda_2}, \dots, \overline{\lambda_n})U$$

$$\begin{aligned}
 &= (KU^*K) \text{diag}(p(\lambda_1), p(\lambda_2), \dots, p(\lambda_n))U \\
 &= (KU^*K)p(\text{diag}(\lambda_1, \lambda_2, \dots, \lambda_n))U \\
 &= p((KU^*K) \text{diag}(\lambda_1, \lambda_2, \dots, \lambda_n)U) \\
 &= p(A)
 \end{aligned}$$

For the other direction, if  $KA^*K = p(A)$  for some polynomial then

$$(KA^*K)A = p(A)A = Ap(A) = A(KA^*K)$$

(4)  $\Leftrightarrow$  (2): If  $KU^*KAU = \text{diag}(\lambda_1, \lambda_2, \dots, \lambda_n)$  then pre multiplying by  $U$

$$AU = U \text{diag}(\lambda_1, \lambda_2, \dots, \lambda_n)$$

$\Rightarrow Au_i = \lambda_i u_i, i = 1, 2, \dots, n$  where  $u_i$  is the  $i^{\text{th}}$  column vectors of  $U$  are  $q$ - $k$ -eigenvector of  $A$ . That is  $u_i$  is  $q$ - $k$ -eigenvector of  $A$  corresponding to  $\lambda_i$  for  $i = 1, 2, \dots, n$ . And also they form an orthonormal basis for  $H_n$ , Since  $U$  is  $q$ - $k$ -unitary matrix.

Conversely, if  $A$  has a set of  $q$ - $k$ -eigenvectors that form an orthonormal basis for  $H_n$ , then the matrix  $U$  consisting of these vector as columns is  $q$ - $k$ -unitary and satisfies.

$$KU^*KAU = \text{diag}(\lambda_1, \lambda_2, \dots, \lambda_n)$$

(5)  $\Leftrightarrow$  (1): Assume that  $A$  is  $q$ - $k$ -normal and let  $u$  be an  $q$ - $k$ -eigenvector of  $A$  corresponding to  $q$ - $k$ -eigenvalue  $\lambda$ . Extend  $u$  to a  $q$ - $k$ -unitary matrix with  $u$  as the first column: then

$$KU^*KAU = \begin{pmatrix} \lambda & \alpha \\ 0 & A_1 \end{pmatrix}$$

The  $q$ - $k$ -normality of  $A$  acts  $\alpha = 0$ .

Taking the conjugate transpose and by a simple computation,  $u$  is an  $q$ - $k$ -eigenvector of  $KA^*K$  corresponding to the  $q$ - $k$ -eigenvalue  $\bar{\lambda}$  of  $KA^*K$ .

We use induction on  $n$ . Note that  $Ax = \lambda x$

$$\begin{aligned}
 &\Leftrightarrow (KU^*KAU)(KU^*K)x = (KU^*K)Ax \\
 &= (KU^*K)\lambda x \\
 &= \lambda(KU^*K)x
 \end{aligned}$$

for any  $n$ -square  $q$ - $k$ -unitary matrix  $U$ . Thus when considering  $Ax = \lambda x$ , we may assume that  $A$  is upper-triangular by Schur decomposition.

Taking  $e_1 = (1, 0, \dots, 0)^T$ . Then  $e_1$  is an  $q$ - $k$ -eigenvector of  $A$ . Hence by assumption,  $e_1 = \bar{e}_1$  is an  $q$ - $k$ -eigenvector of  $KA^*K$ . A direct computation yields that the first column of  $KA^*K$  must consist of zeros except the first component. Thus, if we write

$$A = \begin{pmatrix} \lambda_1 & O \\ O & B \end{pmatrix}, \text{ then } KA^*K = \begin{pmatrix} \overline{\lambda_1} & O \\ O & K_1 B^* K_1 \end{pmatrix}$$

Since every  $q$ - $\hbar$ -eigenvector of  $A$  is an  $q$ - $\hbar$ -eigenvector of  $KA^*K$ , this property is inherited by  $B$  and  $K_1 B^* K_1$ . An induction hypothesis on  $B$  shows that  $A$  is diagonal. This follows that  $A$  is  $q$ - $\hbar$ -normal.  $[(1) \Leftrightarrow (2)]$

(6)  $\Leftrightarrow$  (1): We assume that  $A = B + iC$  Where  $B$  and  $C$  are  $q$ - $\hbar$ -Hermitian with  $BC = CB$  then  $KA^*K = KB^*K - iKC^*K$

It is enough to show that  $A(KA^*K) = (KA^*K)A$

Now,

$$\begin{aligned} A(KA^*K) &= (B + iC)K(B + iC)^*K \\ &= (B + iC)(KB^*K - iKC^*K) \\ &= BKB^*K - iBKC^*K + iCKB^*K + CKC^*K \\ &= KB^*KB - iKC^*KB + iKB^*KC + KC^*KC \quad [BC = CB \text{ and } C, B \text{ are } q\text{-}\hbar\text{-Hermitian}] \\ &= (KB^*K - iKC^*K)B + i(KB^*K - iKC^*K)C \\ &= (KB^*K - iKC^*K)(B + iC) \\ &= K(B + iC)^*K(B + iC) \\ &= KA^*KA \\ &= (KA^*K)A \end{aligned}$$

Thus  $A$  is  $q$ - $\hbar$ -normal.

Conversely,

$$\begin{aligned} \text{Let } B &= \frac{A + KA^*K}{2} \text{ and } C = \frac{A - KA^*K}{2i} \\ B + iC &= \frac{A + KA^*K}{2} + i \frac{A - KA^*K}{2i} \\ &= \frac{2A}{2} \\ &= A \\ A &= B + iC \\ KB^*K &= K \left( \frac{A + KA^*K}{2} \right)^* K \end{aligned}$$

$$\begin{aligned}
 &= K \left( \frac{A^* + KAK}{2} \right) K \\
 &= \frac{KA^*K + A}{2} \\
 &= B
 \end{aligned}$$

Thus  $B$  is  $q$ - $k$ -Hermitian.

$$\begin{aligned}
 KC^*K &= K \left( \frac{A - KA^*K}{2i} \right)^* K \\
 &= K \left( \frac{A^* - KA^*K}{2i} \right) K \\
 &= K - \left( \frac{A^* - KAK}{2i} \right) K \\
 &= - \left[ \frac{KA^*K - A}{2i} \right] \\
 &= \frac{A - KA^*K}{2i} \\
 &= C
 \end{aligned}$$

(7)  $\Leftrightarrow$  (1): We show that (1)  $\Rightarrow$  (7). The other direction is simple upon computation, we have  
 $AKA^*K = KA^*KA$

$$\begin{aligned}
 A &= \begin{bmatrix} B & C \\ O & D \end{bmatrix} \\
 A^* &= \begin{bmatrix} B^* & O \\ C^* & D^* \end{bmatrix} \\
 KA^*K &= \begin{bmatrix} KB^*K & O \\ KC^*K & KD^*K \end{bmatrix} \\
 (KA^*K)A &= \begin{bmatrix} KB^*K & O \\ KC^*K & KD^*K \end{bmatrix} \begin{bmatrix} B & C \\ O & D \end{bmatrix} \\
 &= \begin{bmatrix} KB^*KB & KB^*KC \\ KC^*KB & KC^*KC + KD^*KD \end{bmatrix} \\
 A(KA^*K) &= \begin{bmatrix} B & C \\ O & D \end{bmatrix} \begin{bmatrix} KB^*K & O \\ KC^*K & KD^*K \end{bmatrix}
 \end{aligned}$$

$$= \begin{bmatrix} BKB^*K + CKC^*K & CKD^*K \\ DKC^*K & DKD^*K \end{bmatrix}$$

Thus,

$$KB^*KB = BKB^*K + CKC^*K \text{ and } KC^*KC + KD^*KD = DKD^*K$$

By taking the trace for both sides of the first identity and noticing that

$$\text{tr}(BKB^*K) = \text{tr}(KB^*KB), \text{ we obtain } \text{tr}(CKC^*K) = 0$$

This forces  $C = O$ . Thus  $B$  is  $q$ - $\mathfrak{k}$ -normal.

Similarly,

$$\text{tr}(DKD^*K) = \text{tr}(KD^*KD)$$

Thus

$$\text{tr}(KC^*KC) = 0$$

$$\Rightarrow D \text{ is also } q\text{-}\mathfrak{k}\text{-normal and } C = O$$

$$\text{So } KU^*KAU = \begin{bmatrix} B & C \\ O & D \end{bmatrix} \text{ for } B \text{ and } D \text{ } q\text{-}\mathfrak{k}\text{-normal and } C = O.$$

Conversely it is easy to prove  $(7) \Rightarrow (1)$  by using  $(2) \Rightarrow (1)$

$(9) \Leftrightarrow (1)$ : If  $A^* = KAUK$ ,  $A = K(AU)^*K$  for some  $q$ - $\mathfrak{k}$ -unitary then

$$\begin{aligned} (KA^*K)A &= K(KAUK)K[K(AU)^*K] \\ &= (AU)K(AU)^*K \\ &= (AU)KU^*A^*K \\ &= AU(KU^*K)(KA^*K) \\ &= A(KA^*K) \\ (KA^*K)A &= A(KA^*K) \end{aligned}$$

Therefore  $A$  is  $q$ - $\mathfrak{k}$ -normal.

For the converse, we show  $(2) \Rightarrow (9)$ .

Let  $A = (KV^*K) \text{diag}(\lambda_1, \lambda_2, \dots, \lambda_n)V$ , where  $V$  is  $q$ - $\mathfrak{k}$ -unitary.

Take  $KU^*KU = (KV^*K) \text{diag}(l_1, l_2, \dots, l_n)V$ , where  $l_i = \frac{\overline{\lambda_i}}{\lambda_i}$  if  $\lambda_i \neq 0$  and  $l_i = 1$  otherwise for

$i = 1, 2, \dots, n$  then

$$\begin{aligned} KA^*K &= (KV^*K) \text{diag}(\overline{\lambda_1}, \overline{\lambda_2}, \dots, \overline{\lambda_n})V \\ &= (KV^*K)(\lambda_1, \lambda_2, \dots, \lambda_n)V(KV^*K) \text{diag}(l_1, l_2, \dots, l_n)V \\ &= A(KU^*KU) \end{aligned}$$

Similarly, (10) is equivalent to (1).

(11)  $\Rightarrow$  (1):  $A$  commutes with  $A + KA^*K$

$$\begin{aligned} A(A + KA^*K) &= (A + KA^*K)A \\ A^2 + AKA^*K &= A^2 + KA^*KA \\ AKA^*K &= A^2 + KA^*KA - A^2 \\ AKA^*K &= KA^*KA \end{aligned}$$

Therefore  $A$  is  $q$ - $k$ -normal.

(12)  $\Rightarrow$  (1):  $A$  commutes with  $A - KA^*K$

$$\begin{aligned} A(A - KA^*K) &= (A - KA^*K)A \\ A^2 - AKA^*K &= A^2 - KA^*KA \\ -AKA^*K &= -KA^*KA \\ AKA^*K &= KA^*KA \end{aligned}$$

Therefore  $A$  is  $q$ - $k$ -normal.

(13)  $\Rightarrow$  (1):  $A + KA^*K$  and  $A - KA^*K$  commute

$$\begin{aligned} (A + KA^*K)(A - KA^*K) &= (A - KA^*K)(A + KA^*K) \\ A^2 - AKA^*K + KA^*KA - (A^*)^2 &= A^2 + AKA^*K - KA^*KA - (A^*)^2 \\ -AKA^*K - KA^*KA &= AKA^*K - KA^*KA \\ -AKA^*K - AKA^*K &= -KA^*KA - KA^*KA \\ -2A(KA^*K) &= -2(KA^*K)A \\ AKA^*K &= KA^*KA \end{aligned}$$

Therefore  $A$  is  $q$ - $k$ -normal.

(14)  $\Rightarrow$  (8): If  $A$  commutes with  $KA^*KA$  then

$$\begin{aligned} A(KA^*KA) &= (KA^*KA)A \\ AKA^*KA &= KA^*K(A^2) \end{aligned}$$

Multiply both sides by  $KA^*K$  from the left to get

$$\begin{aligned} (KA^*K)A(KA^*KA) &= (KA^*K)(KA^*K)(A^2) \\ (KA^*KA)^2 &= (KA^*K)^2(A^2) \end{aligned}$$

Take the trace of both sides  $tr(KA^*KA)^2 = tr[(KA^*K)^2(A^2)]$ .

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