

# Fractional Matrix and Spectral Analysis on Real and Complex Operators

Biswanath Rath

Department of Physics, Orissa University, Takatpur, Baripada -757003,  
Odisha, INDIA

We propose a new matrix called **Fractional - Matrix**. Suitable matrix, real operators and complex operators have been considered for practical use of it. Essentially this matrix is a cross-checking device on spectral analysis.

**Mathematical Classification (2010):**47 A10:47B20

**Key words** - fractional matrix, spectral analysis.

## I. INTRODUCTION

Matrix method has an important application in literature of eigenvalue calculation[1]. In fact the eigenvalues obtained in a matrix can be cross checked, through inverse matrix. Let  $A$  is a square matrix( $n \times n$ ) having eigenvalues  $\lambda_1; \lambda_2; \lambda_3; \lambda_4 \dots \dots \lambda_n$ . If  $|A| \neq 0$  then eigenvalues of  $A^{-1}$  can be written as  $\frac{1}{\lambda_1}; \frac{1}{\lambda_2}; \frac{1}{\lambda_3}; \frac{1}{\lambda_4}; \dots \frac{1}{\lambda_n}$ . This method is valid provided  $\lambda_1 \neq 0$ . Now question arises as if  $\lambda_1 = 0$ , then how one will cross check the result? . To the best of my knowledge, no literature in mathematics nor applied mathematics deal with it. Keeping this in mind, I introduce a new matrix called Fractional - matrix to the literature on matrix analysis and perform different types of calculation pertaining to mathematics as well as physics as discussed below.

## II. FRACTIONAL MATRIX IN EIGENVALUE CALCULATION

Let  $A$  be a matrix having eigenvalue relation.

$$A|\Psi\rangle = \lambda|\Psi\rangle \quad (1)$$

Now define a matrix  $F$  as

$$F = \frac{A}{A+L} \quad (2)$$

here  $L$  is a numerical constant. Let the eigenvalues of  $F$  be  $\beta$  then

$$\lambda = \frac{L\beta}{1-\beta} \quad (3)$$

more explicitly

$$\lambda_n = \frac{L\beta_n}{1-\beta_n} \quad (4)$$

In order to give an explicit example, we consider simple ( $3 \times 3$ ) matrix as

$$A = \begin{bmatrix} 1 & 2 & 1 \\ 1 & 2 & 1 \\ 1 & 2 & 1 \end{bmatrix} \quad (5)$$

having eigenvalues  $\lambda_1 = 4; \lambda_2 = 0; \lambda_3 = 0$  and  $|A| = 0$  Now define the matrix  $F$  as for different values of  $L$  as  $F_L = I, 2, 3$

The explicit expression for  $F$  is

$$F_1 = \begin{bmatrix} \frac{1}{5} & \frac{2}{5} & \frac{1}{5} \\ \frac{1}{5} & \frac{2}{5} & \frac{1}{5} \\ \frac{1}{5} & \frac{2}{5} & \frac{1}{5} \end{bmatrix} \quad (6)$$

$$F_2 = \begin{bmatrix} \frac{1}{6} & \frac{1}{3} & \frac{1}{6} \\ \frac{1}{6} & \frac{1}{3} & \frac{1}{6} \\ \frac{1}{6} & \frac{1}{3} & \frac{1}{6} \end{bmatrix} \quad (7)$$

$$F_3 = \begin{bmatrix} \frac{1}{7} & \frac{2}{7} & \frac{1}{7} \\ \frac{1}{7} & \frac{2}{7} & \frac{1}{7} \\ \frac{1}{7} & \frac{2}{7} & \frac{1}{7} \end{bmatrix} \quad (8)$$

in table-I we compare the eigenvalues of  $F_L$  and  $A$ .

**Table -1 : Eigenvalues of A and  $F_L=1,2,3$ .**

$L$	$\beta_n$	Direct Calculation $\lambda_n$	$Eq(4) \lambda_n = \frac{L\beta_n}{1-\beta_n}$
1	$\frac{0}{5}$	0 0 4	0 0 4
2	$\frac{0}{3}$	0 0 4	0 0 4
3	$\frac{0}{7}$	0 0 4	0 0 4

### III. QUANTUM OPERATORS AND MATRX ANALYSIS

All quantum operators consisting of co-ordinate( $x$ ) and *momentum*( $p$ ) satisfies the commutation relation[2,3]

$$[x, p] = i \quad (9)$$

where  $i = \sqrt{-1}$ . However while applying matrix method to operators involving  $x$  and  $p$ , we need a suitable base function. In this analysis, we consider wave functions of harmonic oscillator[2] as a suitable base function as follows.

$$H_0|\Psi_n\rangle = [p^2 + x^2]|\Psi_n\rangle = (2n + 1)|\Psi_n\rangle \quad (10)$$

$$\Psi_n = N_n H_n(x) e^{-x^2/2} \quad (11)$$

where  $H_n(x)$  is Hemite polynomial and  $N_n$  is the corresponding normalization constant. In short  $\Psi_n$  can be written as  $|n\rangle$  Matrix elements of  $x$  and  $p$  are as follows[2,3]

$$\langle n|x|n+1\rangle = \langle n+1|x|n\rangle = \frac{\sqrt{n+1}}{\sqrt{2}} \quad (12)$$

$$\langle n|p|n+1\rangle = -i \frac{\sqrt{n+1}}{\sqrt{2}} \quad (13)$$

$$\langle n+1|p|n\rangle = i \frac{\sqrt{n+1}}{\sqrt{2}} \quad (14)$$

Further if the operator is even then it is easy to show that

$$\langle n | x^{2m} | n \rangle = \langle n | p^{2m} | n \rangle \quad (15)$$

#### IV. DIRECT EIGENVALUE CALCULATION USING MATRIX METHOD : REAL MATRIX

In order to calculate eigenvalues we use matrix diagonalisation method [4-9] by solving the eigenvalue relation as

$$H|\Psi\rangle = E|\Psi\rangle \quad (16)$$

where

$$|\Psi\rangle = \sum_m A_m |m\rangle \quad (17)$$

In fact solving the eigenvalue using matrix analysis depends on the selection  $H$ . For example consider the a model Hamiltonian as

$$H = p^2 + x^6 - 3x^2 \quad (18)$$

Where  $|m\rangle$  is the harmonic oscillator as described above. In this case we get

$$P_m A_{m-6} + Q_m A_{m-4} + R_m A_{m-2} + S_m A_m + T_m A_{m+2} + U_m A_{m+4} + V_m A_{m+6} = 0 \quad (19)$$

where

$$P_m = \langle m-6 | H | m \rangle \quad (20)$$

$$Q_m = \langle m-4 | H | m \rangle \quad (21)$$

$$R_m = \langle m-2 | H | m \rangle \quad (22)$$

$$S_m = \langle m | H | m \rangle - E \quad (23)$$

$$T_m = R_{m+2} \quad (24)$$

$$U_m = Q_{m+4} \quad (25)$$

$$V_m = P_{m+6} \quad (26)$$

For the benefit of interested reader we give the explicit expression for  $S_m$  as

$$S_m = 2.5m^3 + 3.75m^2 + 3m + 0.875 - E \quad (27)$$

The explicit expression for a (5x5) matrix of  $H$  is given below.

$$H_{matrix} = \begin{vmatrix} 0.875 & 0 & 5.12652 & 0 & 9.18558 \\ 0 & 10.125 & 0 & 27.25057 & 0 \\ 5.12652 & 0 & 41.875 & 0 & 77.50927 \\ 0 & 27.25057 & 0 & 111.125 & 0 \\ 91.85586 & 0 & 77.50927 & 0 & 232.875 \end{vmatrix} \quad (28)$$

Further we also notice that the equivalent operator [8]

$$h = x^2 + p^6 - 3p^2 \quad (29)$$

also yield the same eigenvalue. For the interest of the reader we present (5x5) matrix of  $h$  as given below.

$$h_{matrix} = \begin{vmatrix} 0.875 & 0 & 5.12652 & 0 & 9.18558 \\ 0 & 10.125 & 0 & 27.25057 & 0 \\ 5.12652 & 0 & 41.875 & 0 & 77.50927 \\ 0 & 27.25057 & 0 & 111.125 & 0 \\ 91.85586 & 0 & 77.50927 & 0 & 232.875 \end{vmatrix} \quad (30)$$

One will see that in both the cases diagonal elements remains the same. The first five eigenvalues are tabulated in table-2.

**Table -2 : Eigenvalues of  $H$  and  $h$ .**

n	Eigenvalues of $H$	Eigenvalues of $h$	Previous [7]
0	0	0	0
1	1.935 482	1.935 482	1.935 482
2	6.298 495	6.298495	6.298495
3	11.680 970	11.680 970	11.680 970
4	18.042 634	18.042 634	

#### IVB. Direct Eigenvalue Calculation using Matrix Method : Complex Matrix

Here we consider the complex cubic oscillator characterized by the Hamiltonian [10]

$$H = p^2 + ix^3 \quad (31)$$

Following the above method we get a seven term recurrence relation satisfied by  $A_m$  as follows

$$P_m A_{m-3} + Q_m A_{m-2} + R_m A_{m-1} + S_m A_m + T_m A_{m+3} + U_m A_{m+2} + V_m A_{m+1} = 0 \quad (32)$$

Here

$$P_m = \langle m-3 | H | m \rangle \quad (33)$$

$$Q_m = \langle m-2 | H | m \rangle \quad (34)$$

$$R_m = \langle m-1 | H | m \rangle \quad (35)$$

$$S_m = \langle m | H | m \rangle - E \quad (36)$$

$$T_m = R_{m+1} \quad (37)$$

$$U_m = Q_{m+2} \quad (38)$$

$$V_m = P_{m+3} \quad (39)$$

For the benefit of interested reader we give the explicit expression for  $S_m$  as

$$S_m = m + 0.5 - E \quad (40)$$

In this case also we notice its equivalent operator  $h$

$$h = x^2 + ip^3 \quad (41)$$

also yields the same eigenvalue. Interested reader can check the iso-spectra.

The first five eigenvalues using direct matrix method are given below in table-3.

**Table -3 : Eigenvalues of Complex Cubic Oscillator.**

n	Eigenvalues of $H$	Eigenvalues of $h$	Previous[10.5]
0	1.156 2	1.156 2	1.156 2
1	4.109 2	4.109 2	4.109 2
2	7.562 1	7.562 1	7.562 1
3	11.314 3	11.314 3	11.314 3
4	15.291 6	15.291 6	15.291 6

#### V. Fractional Matrix Eigenvalue Method(FMEM)

Here we use FMEM to compute eigenvalues of matrix  $H_{matrix}$  by defining  $F$  as

$$F = \frac{H}{H+L} \quad (42)$$

The eigenvalues are calculated using the method reported earlier[4-6] In particular we consider  $L=1$  and compute the values of  $\beta_n$  as shown in table.

**Table -3 : Eigenvalues of  $E, H$  and  $h$ .**

Hamitonian( $H$ or $h$ )	$\beta_n$	$E_n = \frac{\beta_n}{(1 - \beta_n)}$	Remarks
$H = p^2 + x^2$	$\frac{1}{2}$ $\frac{3}{4}$ $\frac{5}{6}$ $\frac{7}{8}$ $\frac{9}{10}$	1 3 5 7 9	no difference in eigenvalues
$H = p^2 + x^6 - 3x^2$ $h = x^2 + p^6 - 3p^2$	0 $\frac{60}{291}$ $\frac{422}{489}$ $\frac{549}{596}$ $\frac{848}{895}$	0 1.935 4 6.298 5 11.507 85 18.043 55	no difference in eigenvalues
$H = p^2 + ix^3$ $h = x^2 + ip^3$	$\frac{2123}{3974}$ $\frac{480}{608}$ $\frac{2125}{2406}$ $\frac{396}{431}$ $\frac{367}{391}$	1.156 2 4.109 2 7.562 2 11.314 2 15.291 6	no difference in eigenvalues

## VI. CONCLUSION

We have proposed a new matrix to the literature on matrix. The present application to standard matrix and quantum operator will motivate the reader for further study. In fact FMEM is a self checked method on eigenvalue. We believe this paper will be of interest to students, researchers in physics as well as in mathematics.

## REFERENCES

- [1] E.Kreyszig: Advanced Mathematics, Wiley india Pvt,Ltd, New Delhi ,India 2011.
- [2] A.S.Davydov, Quantum Mechanics Oxford : Pergamon1965.
- [3] B.Rath, Phys.Rev A42,2520(1990).
- [4] B.Rath, TheAfrican.Rev.Phys.12:006,41 (2017).
- [5] B.Rath, P.Mallick and P.K.Samal:TheAfrican.Rev.Phys.9:0027 ,201 (2014).
- [6] B.Rath, P.Mallick and P.K.Samal:TheAfrican.Rev.Phys.10:0007,(2015).
- [7] B.Rath, Pramana 49(4),385(1997)
- [8] B.Rath, Jour.Advances in Phys.,14(1),5326(2018).
- [9] J.B.Rath, Complex Siamese Twins and Real Spectra, Lambert Academic Publishing Company Germany(2018).
- [10] C.M.Bender and S.Boettcher, Phys.Rev.Lett ,80(24),5243 (1998)